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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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С	omplete if Known	
Application Number	09/005,006	
Filing Date	January 9, 1998	
First Named Inventor	Shuichi KANNO	
Art Unit	1793	
Examiner Name	Ngoc Nguyen	
Attorney Docket Number	056203.50311US	

			U.S. PATENT I	OCUMENTS	
Examiner Initials'	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/NN/	B1	US- 5,468,459	11-21-1995	Tamhankar et al.	
500	B2	US- 4,807,016	02-21-1989	Douglas	
00000	B 3	US- 5,417,742	05-23-1995	Tamhankar et al.	
	B4	US- 5,396,022	03-07-1995	Wu et al.	
1/	B5	US- 5,328,556	07-12-1994	Matlow	
W	B6	US- 5,451,378	09-19-1995	Russell et al.	
/NN/	B7	US- 5,508,021	04-16-1996	Grinstaff et al.	
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		FOREIG	GN PATENT DO	CUMENTS		
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
Initials'	No.1	Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	├──
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Examiner	/Naoc Yen Nauven/ (07/20/2008)	Date	07/20/2008
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	Substitute for form 1449A/PTO			С	omplete if Known	
				Application Number	09/005,006	
	INFORMATION DISC	LOS	URE	Filing Date	January 9, 1998	
	STATEMENT BY APP	PLIC	ANT	First Named Inventor	Shuichi KANNO	
				Art Unit	1793	
	(Use as many sheets as ne	cessa	ער	Examiner Name	Ngoc Nguyen	_
Sheet	2	of	8	Attorney Docket Number	056203.50311US	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	T²
/NN/	В8	Rossin Miscellaneous Motion 9, Patent Interference No. 105,402 (McK), Technology Center 1700, August 8, 2006	
000000000000000000000000000000000000000	В9	Order re Rossin Miscellaneous Motion 9 (via e-mail from Judge McKelvey), Patent Interference No. 105,402 (McK), Technology Center 1700, August 8, 2006	
0000000	B10	Kanno Reply 1, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
000000000	B11	Kanno Reply 2, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
	B12	Kanno Reply 3, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
	B13	Kanno Reply 4, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
	B14	Kanno Reply 5, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
	B15	Rossin Reply 4, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
	B16	Rossin Reply 8, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
**************************************	B17	Kanno Objections to Rossin Exhibits (No. 3), Patent Interference No. 105,402 (McK), Technology Center 1700, August 14, 2006	
	B18	Memorandum Opinion and Order Dismissing as Moot Rossin Miscellaneous Motion 9, Patent Interference No. 105,402 (McK), Technology Center 1600, August 17, 2006	
	B19	Rossin Objections to Kanno Exhibits (No. 3), Patent Interference No. 105,402 (McK), Technology Center 1700, August 18, 2006	
	B20	Notice of Conference, Patent Interference No. 105,402 (McK), Technology Center 1700, September 6, 2006	
V	B21	Kanno Miscellaneous Motion 5 (to Exclude Evidence), Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006	
/NN/	B22	Kanno Request for Oral Argument, Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006	

Examiner	/Ngoc Yen Nguyen/ (07/20/2008)	Date	07/20/2008
Signature		Considered	

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`				Application Number	09/005,006
1	NFORMATION DISC	LOS	URE	Filing Date	January 9, 1998
	STATEMENT BY APP	PLIC	ANT	First Named Inventor	Shuichi KANNO
				Art Unit	1793
	(Use as many sheets as ned	cessar	y)	Examiner Name	Ngoc Nguyen
Sheet	3	of	8	Attorney Docket Number	056203.50311US

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/NN/ B23		Rossin Miscellaneous Motion 10 (to Exclude Kanno Exhibits), Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006	
	B24	Rossin Request for Oral Argument, Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006	
2000	B25	Order Setting Oral Argument Date, Patent Interference No. 105,402 (McK), Technology Center 1700, September 14, 2006	
	B26	Kanno Opposition 10, Patent Interference No. 105,402 (McK), Technology Center 1700, September 29, 2006	
2000000	B27	Rossin Opposition 6, Patent Interference No. 105,402 (McK), Technology Center 1700, September 29, 2006	
000000000000000000000000000000000000000	B28	Kanno Reply 6, Patent Interference No. 105,402 (McK), Technology Center 1700, October 19, 2006	
200000000	B29	Rossin Reply 10, Patent Interference No. 105,402 (McK), Technology Center 1700, October 19, 2006	
0000	B30	Rossin Submission of Demonstrative Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, November 7, 2006	
000000000000000000000000000000000000000	B31	Rossin's Amended Designation of Lead and Backup Counsel, Patent Interference No. 105,402 (McK), Technology Center 1700, November 10, 2006	
000000000000000000000000000000000000000	B32	Letter to Judge McKelvey from M. Jacobs, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007	
000000000000000000000000000000000000000	B33	Letter to Judge McKelvey from G. Birkenmeier, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007	
000000000000000000000000000000000000000	B34	Memorandum Opinion and Order – Decision on Kanno Motions 3, 4 and 5 and Other Issues, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007	
	B35	Final Judgment, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007	
***************************************	B36	Redeclaration, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007	
V	B37	Memorandum Opinion BD.R. 125(a), Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007	
/NN/	B38	Memorandum Opinion, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007	

Examiner	/Ngoc Yen Nguyen/ (07/20/2008)	Date	
Signature		Considered 07/20/2008	

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9	Substitute for form 1449A/PTO			Co	omplete if Known
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1	NFORMATION DISC	CLOS	SURE	Filing Date	January 9, 1998
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				Art Unit	1793
	(Use as many sheets as n	ecessa	ry)	Examiner Name	Ngoc Nguyen
Sheet	4	of	8	Attorney Docket Number	056203.50311US

· ·		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	T²
/NN/	B39	Rossin's Request for Rehearing, Patent Interference No. 105,402 (McK), Technology Center 1700, February 28, 2007	
20000000	B40	Rossin Priority Statement, Patent Interference No. 105,402 (McK), Technology Center 1700, February 28, 2007	
900000	B41	Order Inviting Response, Patent Interference No. 105,402 (McK), Technology Center 1700, March 1, 2007	
000000	B42	Kanno Response to Request for Rehearing, Patent Interference No. 105,402 (McK), Technology Center 1700, March 22, 2007	
0000000	B43	Kanno Substantive Motion 2 (Corrected) (to declare U.S. Patent No. 6,673,326 unpatentable), Patent Interference No. 105,402 (McK), Technology Center 1700, August 7, 2006	
	B44	Kanno Substantive Motion 4 (Corrected) (to add Count B), Patent Interference No. 105,402 (McK), Technology Center 1700, August 7, 2006	
99999999	B45	Kanno Substantive Motion 5 (Corrected) (to add Count C), Patent Interference No. 105,402 (McK), Technology Center 1700, August 7, 2006	
000000000000000000000000000000000000000	B46	Post Judgment Communication, Patent Interference No. 105,402 (McK), Technology Center 1700, August 20, 2007	
000000000000000000000000000000000000000	B47	Notice Granting Request to Keep Agreement Separate from Interference File, Patent Interference No. 105,402 (McK), Technology Center 1700, August 28, 2007	
200000000000000000000000000000000000000	B48	Order Denying Rehearing, Patent Interference No. 105,402 (McK), Technology Center 1700, May 8, 2007	
000000000000000000000000000000000000000	B49	Memorandum and Order (Decision on Petition Under 37 C.F.R. § 1.304(a)(3)(i), Patent Interference No. 105,402 (McK), Technology Center 1700, July 5, 2007	
000000000000000000000000000000000000000	B50	Second Extension of Time for Appeal, Patent Interference No. 105,402 (McK), Technology Center 1700, July 19, 2007	
	B51	Stipulated Extension of Motion Times, Patent Interference No. 105,512 (McK), Technology Center 1700, July 19, 2007	
000000000000000000000000000000000000000	B52	Memorandum and Order (Decision on Petition Under 37 C.F.R. § 1.304(a)(3)(i), Patent Interference No. 105,402 (McK), Technology Center 1700, July 20, 2007	
V	B53	Notice of Filing of Settlement Agreement and Request to Keep Same Separate from Interference Files, Patent Interference No. 105,402 (McK), Technology Center 1700, August 9, 2007	
/NN/	B54	Notice of Filing of Express Abandonment, Patent Interference No. 105,402 (McK), Technology Center 1700, August 15, 2007	

Examiner	/Ngoc Yen Nguven/ (07/20/2008)	Date	07/20/2008
Signature	rigos ren inguyen (Urizorzodo)	Considered	

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Complete if Known Substitute for form 1449A/PTO **Application Number** 09/005,006 INFORMATION DISCLOSURE January 9, 1998 Filing Date Shuichi KANNO STATEMENT BY APPLICANT First Named Inventor 1793 Art Unit Examiner Name Ngoc Nguyen (Use as many sheets as necessary) 056203.50311US 8 Attorney Docket Number Sheet 5 of

		NON PATENT LITERATURE DOCUMENTS	_				
Examiner Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.					
/NN/ B55		Declaration of Takeshi "Ken" Yasuda, Patent Interference No. 105,402 (McK), Technology Center 1700, July 19, 2006					
200000000000	B56	Declaration of Shuichi Kanno (No. 2), Patent Interference No. 105,402 (McK), Technology Center 1700, July 20, 2006					
0000000000	B57	Revocation of Power of Attorney and Appointment of New Power of Attorney dated November 15, 2001 filed in U.S. Application Serial No. 09/005,006					
0000000000	B58	Regents of the Univ. of Cal. v. Univ. of Iowa Research Found., 455 F.3d 1371 (Fed. Cir. 2006)					
000000000000000000000000000000000000000	B59	Kanno Objections to Rossin Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, April 28, 2006					
200000000000000000000000000000000000000	B60	Kanno Objections to Rossin Exhibits (No. 2), Patent Interference No. 105,402 (McK), Technology Center 1700, June 23, 2006					
	B61	Kanno Objections to Rossin Exhibits (No. 3), Patent Interference No. 105,402 (McK), Technology Center 1700, August 14, 2006					
	B62	PTO-1449 Forms filed in U.S. Application Serial No. 09/005,006					
	B63	Notices of References Cited from U.S. Application Serial No. 09/005,006					
000000000000000000000000000000000000000	B64	Kanno List of Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006					
	B65	Rossin Submission of List of Objections, Patent Interference No. 105,402 (McK), Technology Center 1700, April 28, 2006					
300000000000000000000000000000000000000	B66	Rossin Objections to Kanno Exhibits (No. 3), Patent Interference No. 105,402 (McK), Technology Center 1700, August 18, 2006					
000000000000000000000000000000000000000	B67	CAMBIO et al., "Analysis of Data for Perfluorocompund (PFC) Emission Controls Systems (S69)," http://www.sematech.org/docubase/abstracts/2847aeng.htm , July 6, 1995					
V	B68	Letter to G. Birkenmeier from M. Jones, American Chemical Society, September 22, 2006					
/NN/	B69	Declaration of David Flaxbart, Patent Interference No. 105,402 (McK), Technology Center 1700, September 29, 2006					

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I i	NFORMATION DISC	CLOS	URE	Filing Date	January 9, 1998	
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				Art Unit	1793	
	(Use as many sheets as n	ecessa	ry)	Examiner Name	Ngoc Nguyen	
Sheet	6	of	8	Attorney Docket Number	056203.50311US	

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		Declaration of Susan Rogers, Patent Interference No. 105,402 (McK), Technology Center 1700, September 29, 2006			
000000000	B71	Hitachi High Technologies America, "SCDS PFC Abatement System (The SCDS Advantage)," February 28, 2007			
	B72	Hitachi High Technologies America, "SCDS PFC Abatement System (System Performance)," February 28, 2007			
000000000000000000000000000000000000000	B73	Hitachi High Technologies America, "SCDS PFC Abatement System (System Overview)," February 28, 2007			
000000000000000000000000000000000000000	B74	Hitachi High Technologies America, "SCDS PFC Abatement System (System Maintenance," February 28, 2007			
000000000000000000000000000000000000000	B75	Rossin Submission of Current List of Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006			
	B76	Rossin Submission of Current List of Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, February 28, 2007			
000000000000000000000000000000000000000	B77	Rossin Submission of Current List of Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, September 29, 2006			
0000	B78	Rossin Submission of Current List of Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006			
	B79	Declaration of Interference, Patent Interference No. 105,512 (McK), Technology Center 1700, January 29, 2007			
	B80	Rossin's Designation of Lead and Backup Counsel, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007			
200000000000000000000000000000000000000	B81	Rossin's Designation of the Real Party-in-Interest, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007			
000000000000000000000000000000000000000	B82	Rossin's Notice of Related Proceedings, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007			
	B83	Rossin's Request for File Copies, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007			
V	B84	Rossin's Submission of a Clean Copy of Claims, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007			
/NN/	B85	Kanno Designation of Lead and Backup Counsel, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007			

ı	Examiner	/Ngoc Yen Nauven/ (07/20/2008)	Date	07/20/2008
1	Signature	Higoc Fell Higayetii (67/20/2000)	Considered	

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Sheet	7	of	8	Attorney Docket Number	056203.50311US	

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	B87	Kanno Notice of Real Party-in-Interest, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007				
X	B88	Kanno Notice of Related Proceedings, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007				
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000000000000000000000000000000000000000	В90	Kanno Notice of Related Proceedings (Amended), Patent Interference No. 105,512 (McK), Technology Center 1700, February 13, 2007				
	B91	Kanno List of Proposed Motions, Patent Interference No. 105,512 (McK), Technology Center 1700, March 1, 2007				
20000000000	B92	Rossin's Motions List, Patent Interference No. 105,512 (McK), Technology Center 1700, March 1, 2007				
000000000000	B93	Order – Motion Times – Bd.R. 104(c), Patent Interference No. 105,512 (McK), Technology Center 1700, March 9, 2007				
000000000000000000000000000000000000000	B94	Revised Order – Motion Times – Bd.R. 104(c), Patent Interference No. 105,512 (McK), Technology Center 1700, March 12, 2007				
	B95	Rossin's Uncontested Miscellaneous Motion 1 (Certificate of Correction for Claim 4), Patent Interference No. 105,512 (McK), Technology Center 1700, March 13, 2007				
200000000000000000000000000000000000000	B96	Request for Certificate of Correction dated March 13, 2007 filed in U.S. Patent No. 6,673,326				
000000000000000000000000000000000000000	B97	Order – Format and Length of Papers, Patent Interference No. 105,512 (McK), Technology Center 1700, March 15, 2007				
(000000000000	B98	Order Granting Rossin Miscellaneous Motion 1, Patent Interference No. 105,512 (McK), Technology Center 1700, March 15, 2007				
V	B99	Letter to Judge McKelvey from G. Birkenmeier, Patent Interference No. 105,512 (McK), Technology Center 1700, April 16, 2007				
/NN/	B100	Stipulated Changes to Revised Order – Motion Times, Patent Interference No. 105,512 (McK), Technology Center 1700, May 23, 2007				

Examiner	/Naoc Yen Nauven/ (07/20/2008)	Date	07/20/2008
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				Application Number	09/005,006	
l	INFORMATION DISC	LOS	SURE	Filing Date	January 9, 1998	
	STATEMENT BY API	PLIC	ANT	First Named Inventor	Shuichi KANNO	
				Art Unit	1793	
	(Use as many sheets as ne	cessa	ry)	Examiner Name	Ngoc Nguyen	
Sheet	8	of	8	Attorney Docket Number	056203.50311US	

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	T²			
/NN/	B101	Stipulated Extension of Time (Time Periods 1-6), Patent Interference No. 105,512 (McK), Technology Center 1700, June 25, 2007				
ASSESSMENT	B102	Notice of Filing of Settlement Agreement and Request to Keep Same Separate from Interference Files, Patent Interference No. 105,512 (McK), Technology Center 1700, August 9, 2007				
	B103	Notice of Filing of Express Abandonment, Patent Interference No. 105,512 (McK), Technology Center 1700, August 15, 2007				
V	B104	Judgment, Patent Interference No. 105,512 (McK), Technology Center 1700, August 17, 2007				
/NN/	B105	Notice Granting Request to Keep Agreement Separate from Interference File, Patent Interference No. 105,512 (McK), Technology Center 1700, August 28, 2007				
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Examiner	/Naoc Yen Nauyen/ (07/20/2008)	Date	07/20/2008
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